

4-19-01

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J1093 U.S. PTO  
04/18/01A  
872 U.S.P.T.O.  
04/18/01

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.		107044-0007
First Inventor		John A. Corey et al.
Title	APPARATUS AND METHOD FOR CONTROLLING UNDESIRED WATER AND FUEL TRANSPORT IN A FUEL CELL	
Express Mail Label No.		EL705595243US

APPLICATION ELEMENTS			ADDRESS TO:		
See MPEP chapter 600 concerning utility patent application contents.					
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>			Assistant Commissioner for Patents Box Patent Application Washington, DC 20231		
2. <input type="checkbox"/> Applicant claims small entity status. <small>See 37 CFR 1.27.</small>					
3. <input checked="" type="checkbox"/> Specification [Total Pages 13]			7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)		
(preferred arrangement set forth below) <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>			8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul>		
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5]			9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))		
5. Oath or Declaration [Total Pages 2]			10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)		
a. <input checked="" type="checkbox"/> Newly executed (original or copy)			11. <input type="checkbox"/> English Translation Document (if applicable)		
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for a continuation/divisional with Box 18 completed)</small>			12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations		
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small>			13. <input type="checkbox"/> Preliminary Amendment		
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>		
			15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>		
			16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		
			17. <input type="checkbox"/> Other:		

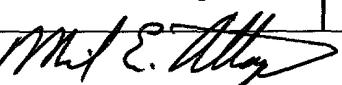
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: \_\_\_\_\_ /  
 Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label <i>(Insert C)</i>		 24267		or <input type="checkbox"/> Correspondence address below <i>(Insert C)</i>	
Name	Michael E. Attaya PATENT TRADEMARK OFFICE				
Address	Cesari and McKenna 88 Black Falcon Avenue				
City	Boston	State	MA	Zip Code	02210
Country	U. S. A.	Telephone	(617) 951-2500	Fax	(617) 951-3927

Name (Print/Type)	Michael E. Attaya	Registration No. (Attorney/Agent)	31,731
Signature			Date April 18, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

**Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB-09-12. See 37 C.F.R. §§ 1.27 and 1.28.**

**TOTAL AMOUNT OF PAYMENT**      **(\\$)**      **964**

## Complete If Known

Application Number	Not yet assigned
Filing Date	April 17, 2001
First Named Inventor	John A. Corey et al.
Examiner Name	Not yet assigned
Group / Art Unit	Not yet assigned
Attorney Docket No.	107044-0007

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **03-1237**

Deposit Account Name **Cesari and McKenna, LLP**

Charge Any Additional Fee  Charge the Issue Fee Set in  
Required Under 37 C.F.R. §§1.18 at the Mailing of  
37 C.F.R. §§1.16 and 1.17 the Notice of Allowance

2.  Payment Enclosed:

Check  Money  Other Order

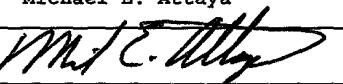
## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee	
105	130	205	65 Fee Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English Specification
147	2,520	147	2,520 For filing a request for reexamination
112	920	112	920* Requesting publication of SIR prior to Examiner action
113	1,840	113	1,840*Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	390	216	195 Extension for reply within second month
117	890	217	445 Extension for reply within third month
118	1,390	218	695 Extension for reply within fourth month
128	1,890	128	945 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,240	241	620 Petition to revive - unintentional
142	1,240	242	620 Utility Issue fee (or reissue)
143	440	243	220 Design Issue fee
144	600	244	300 Plant Issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	126	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties) 40
146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))
149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))
Other (specify)			
Other fee (specify)			
<b>SUBTOTAL (3) (\$)</b>			40

\*Reduced by Basic Filing Fee Paid

## SUBMITTED BY

Typed or Printed Name	Michael E. Attaya	Complete (if applicable)
Signature		Reg. Number <b>31,731</b>

Date **April 18, 2001**

Deposit Account User ID

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of: )  
John A. Corey et al. )  
Serial No.: Not yet assigned )  
Filed: April 17, 2001 )  
For: APPARATUS AND METHOD FOR )  
CONTROLLING UNDESIRED )  
WATER AND FUEL TRANSPORT )  
IN A FUEL CELL )  
Examiner: Not yet assigned  
Art Unit: Not yet assigned  
Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
April 18, 2001

**EXPRESS-MAIL DEPOSIT**

“Express Mail” Mailing-Label Number: EL705595243US

The following papers are being deposited with the United States Postal Service  
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Patent Application (13 pages including 23 claims) | <input checked="" type="checkbox"/> Oath or Declaration                    |
| <input checked="" type="checkbox"/> Power of Attorney                                 | <input checked="" type="checkbox"/> Utility Patent Application Transmittal |
| <input checked="" type="checkbox"/> Fee Transmittal Letter                            | Letter   |
| <input checked="" type="checkbox"/> Assignment With Recordation Form                  | <input checked="" type="checkbox"/> Informal Drawing ( 5 sheets )          |
| Cover Sheet   |  |
| <input checked="" type="checkbox"/> Check No. 22216 for \$964                         |  |